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Con No. <b>843336295</b>	Piece 1 of 1	Weight 0.20 kg	Service Express Option
Customer Reference 2010009-93546-93869		Origin LUG	Pickup Date 2014-04-16
Account No 10409		Routing LIN LGG-9 JFK	
Sender Address Mail & Transport 0918081751 BSI SA Via Stefano Franscini 8		Sort LGG	
CH / LUGANO 6900		Dest Depot NYC-17	
Delivery Address SOUTHERN DISTRICT NY			
LEHAMAN BROTHERS HOLDING C. CLAIMS PROCESSING CENTER C/O EPIQ BANKRUPTCY SOLUTIONS 757 THIRD AVENUE US / NEW YORK 10017			
Postcode / Cluster Code NY			
1100843336295010485224010017			

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To:  
United States Bankruptcy Court  
Southern District of New York  
Lehman Brothers Holdings Claims Processing  
Center  
c/o Epiq Bankruptcy Solutions, LLC  
757 Third Avenue, 3rd Floor  
New York, NY10017 (USA)

BY DHL

Lugano 15 april 2014

Notice of transfer, from RBS COUTTS BANK AG Switzerland (the "Transferor") to BSI SA on behalf of its client (the "Transferee"), of securities issued by Lehman Brothers Securities N.V. (the "Securities") and claim n. 45221 attached thereto (the "Claim")

Dear Sirs,

reference is made to the transfer of the above mentioned Securities and part of the Claim to our bank as nominee on behalf of its client/s (the "Transfer").

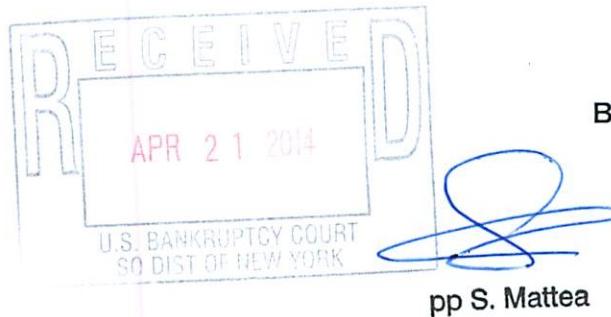
In order to duly notify you of the Transfer, please find here attached the following documents, so that you can kindly proceed and amend the Claim Register accordingly:

- 1) Form 210/A, signed by our bank as Transferee on behalf of its client;
- 2) "*Evidence of Transfer of Claim*", signed by RBS COUTTS BANK AG, Switzerland as Transferor, as proof that RBS COUTTS BANK AG has partially transferred a registered claim to BSI SA on behalf of its client/s.

Given the importance of this Notice in the interests of our client/s, We would also appreciate if you can advise us immediately if something (e.g. information, document, etc.) is missing by sending a message to the following e-mail address: [group.bsicustodyadministration@bsibank.com](mailto:group.bsicustodyadministration@bsibank.com)

Thank you very much in advance for your kind help and attention.

Yours faithfully,

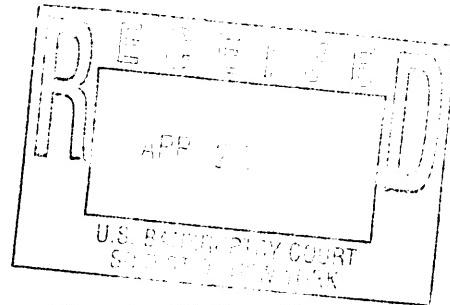


BSI SA

pp A. Ferrari

Attachments: as above

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK



In re : Chapter 11 Case No.  
LEHMAN BROTHERS HOLDINGS INC., et al. : 08-13555 (JMP)  
Debtors. : (Jointly Administered)

**PARTIAL TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). The Transferee named below hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the portion of the claim referenced in this evidence and notice.

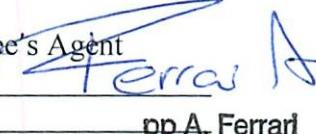
BSI BANK SA, LUGANO	RBS COUTTS BANK AG Switzerland
Name of Transferee	Name of Transferor
Name and address where notices to Transferee should be sent:  BSI SA Attn: Andrea Ferrari Custody Administration Via Magatti 2, 6900 Lugano Email: Group.BsiCustodyAdministration@bsibank.com	Court Claim # (if known): 45221 Amount of Claim as Filed:  Amount of Claim Transferred: EUR 100'000 Amount of Claim Transferred: EUR 100'000 Amount of Claim Transferred: EUR 100'000 Amount of Claim Transferred : USD 150'000 Amount of Claim Transferred : USD 100'000  XS0300662607 EUR -100'000- XS0301130554 EUR -100'000- XS0305100892 EUR -100'000- XS0306693127 USD -150'000- XS0308970994 USD -100'000-
Name and address where transferee payments should be sent:  For USD :CITIBANK NY / SWIFT CITIUS33 ACCOUNT 10938561 In the name of BSI SA For EUR :DEUTSCHE BK FF / SWIFT DEUTDEFF ACCT: 1009415860 1000 In the name of BSI SA	

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

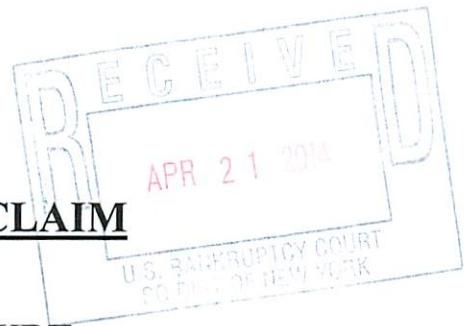
**BSI SA Lugano**

By: \_\_\_\_\_

Date: 15.04.2014

Transferee/Transferee's Agent  
Name:    
Title:  pp A. Ferrari

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 357.*



## EVIDENCE OF TRANSFER OF CLAIM

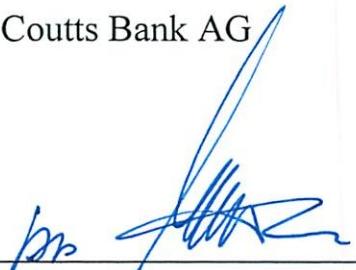
**TO: THE DEBTOR AND THE BANKRUPTCY COURT**

For value received, the adequacy and sufficiency of which are hereby acknowledged, **RBS Coutts Bank AG, Switzerland** ("Transferor") unconditionally and irrevocably transferred to **BSI SA, Lugano, Switzerland** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (**Claim No. 45221**) relating to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to the Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to the Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED on 05 November 2013

RBS Coutts Bank AG

By:   
Name: Kurt Hauser  
Title: Associate

By:   
Name: Hans-Peter Schmid  
Title: Director

## SCHEDULE I

Lehman Programs Securities related to Transferred Portion of Claim:

ISIN	Court Claim #	Date Claim filed	Issuer	Number of Units or Currency and Nominal Amount
XS0300662607	45221	October 23, 2009	Lehman Brothers Securities NV	EUR 100'000.00
XS0301130554	45221	October 23, 2009	Lehman Brothers Securities NV	EUR 100'000.00
XS0305100892	45221	October 23, 2009	Lehman Brothers Securities NV	EUR 100'000.00
XS0306693127	45221	October 23, 2009	Lehman Brothers Securities NV	USD 150'000.00
XS0308970994	45221	October 23, 2009	Lehman Brothers Securities NV	USD 100'000.00

